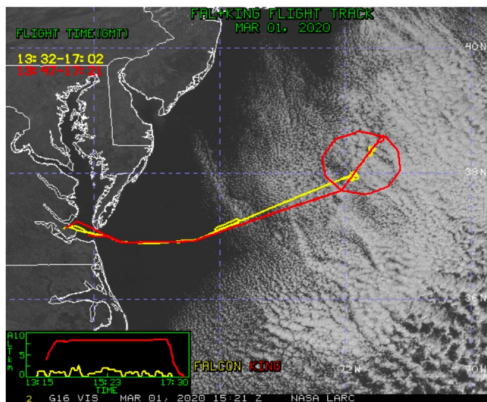


ModelE3 development approach

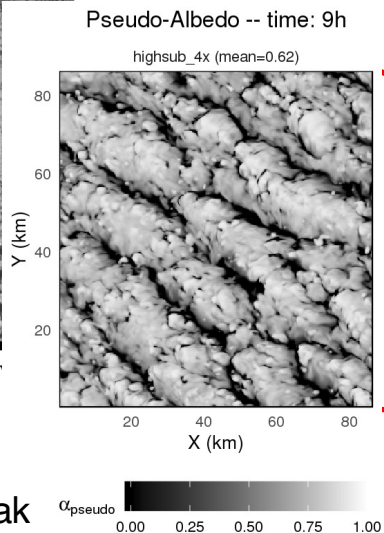
Global data → ESM tuning

Field campaigns → LES → SCM

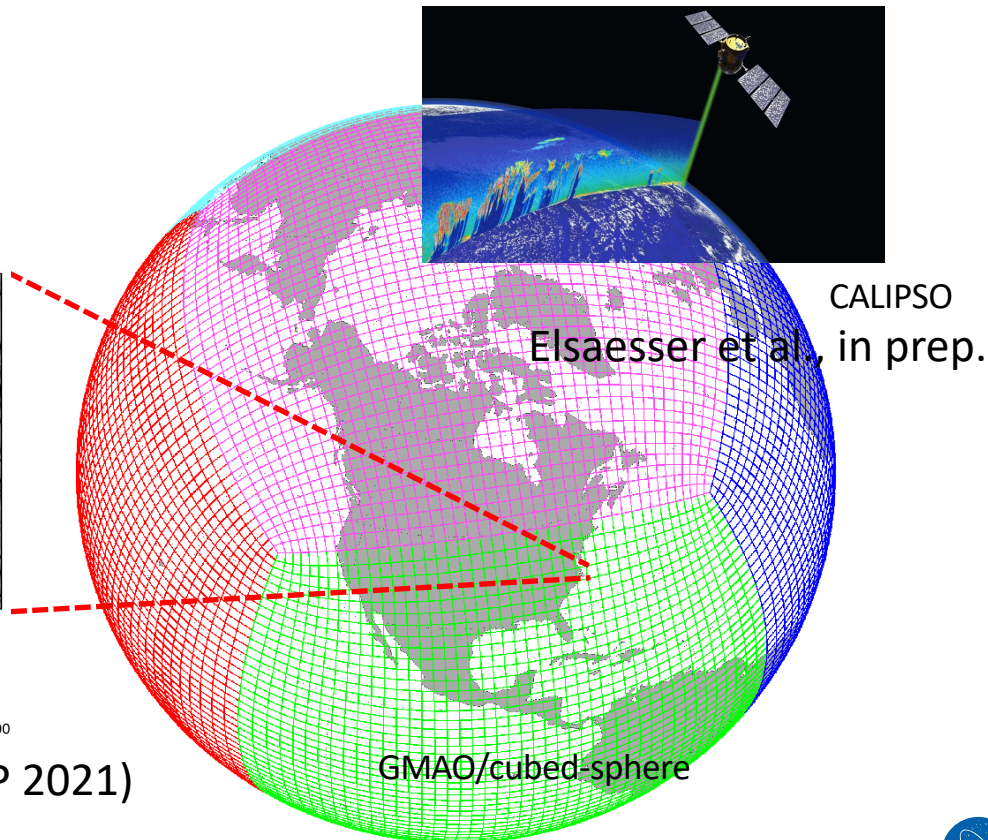


From <https://satcorps.larc.nasa.gov>

ACTIVATE Flight RF13
1 March 2020
mixed-phase cold-air outbreak



Tornow et al. (ACP 2021)



Field campaigns → LES → Single-column model (SCM)

Conditions	Case study	Aerosol aware?
dry convective boundary layer	idealized [Bretherton and Park 2009]	—
dry stable boundary layer	GABLS1 [Cuxart et al. 2006]	—
marine stratocumulus	DYCOMS-II RF02 [Ackerman et al. 2009]	observed (2 modes)
marine trade cumulus (shallow)	BOMEX [Siebesma et al. 2003]	no
marine trade cumulus (deep, raining)	RICO [van Zanten et al. 2011]	no
marine stratocumulus-to-cumulus *	SCT [Sandu and Stevens 2011]	no
continental cumulus ^	RACORO [Vogelmann et al. 2015]	observed profile (3 modes)
Arctic mixed-phase stratus	M-PACE [Klein et al. 2009]	observed (2 modes)
Antarctic mixed-phase stratus *	AWARE [Silber et al. 2019, 2021, 2022]	estimated (1 mode)
tropical deep convection	TWP-ICE [Fridlind et al. 2012]	observed profile (3 modes)
mid-latitude synoptic cirrus *	SPARTICUS [cf. Mühlbauer et al. 2014]	no
mid-latitude cold-air outbreak **	ACTIVATE [Tornow et al., 2021, 2022, in prep.]	observed profile (3 modes)
high-latitude cold-air outbreak **	COMBLE [Tornow et al., in prep.]	observed/estimated profiles (3 modes w/INP)
marine cumulus and congestus **	CAMP2Ex [Stanford et al., in prep.]	observed profiles (3 modes)
subtropical marine deep convection **	SEAC4RS [Stanford et al., in prep.]	observed profiles (TBD)
continental sea breeze convection **	TRACER [Matsui et al., in prep.]	observed profiles (TBD)

*Lagrangian (cf. Neggers JAMES 2015, Pithan et al. NatGeo 2019)

^ensemble (cf. Neggers et al. JAMES 2019)

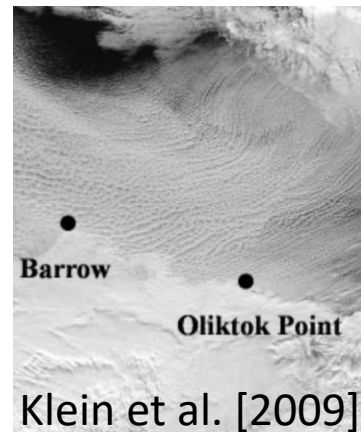


Ice formation approach

- Only physically-based mechanisms and parameterizations
- Avoid unnecessary complexity
- Each mechanism should be demonstrably active in observed case studies
- Heterogeneous freezing mechanisms should be linkable to aerosol properties
- But start with diagnostic INP, e.g. immersion mode
 - DeMott et al. 2010 * $f_{\text{scale_iifn}}$
 - $f_{\text{scale_iifn}} < 1$ can crudely account for efficient precipitation scavenging (Fridlind et al. JAS 2012)



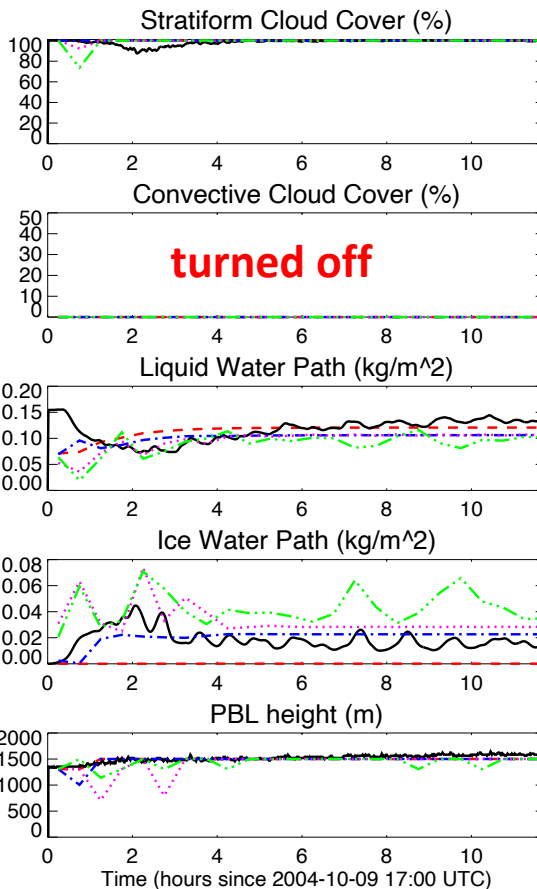
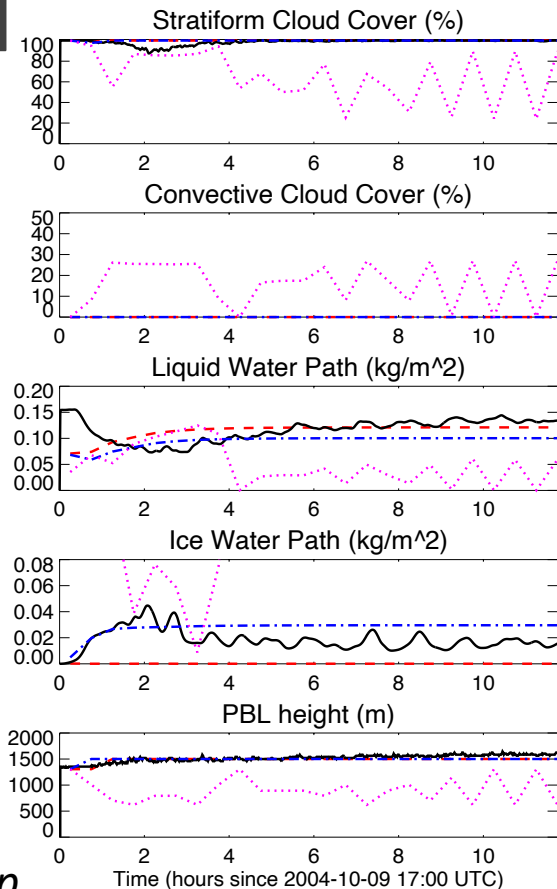
M-PACE



LES_MPACE
SCM_MPACE_Ni0p1
SCM_MPACE_Ni1
SCM_MPACE_Ni10

fixed N_{ic}

$N_{ic} = f * \text{DeMott et al. [2010]}$



LES_MPACE
SCM_MPACE_iifn0_mc0
SCM_MPACE_iifn0p01_mc0
SCM_MPACE_iifn0p1_mc0
SCM_MPACE_iifn1_mc0

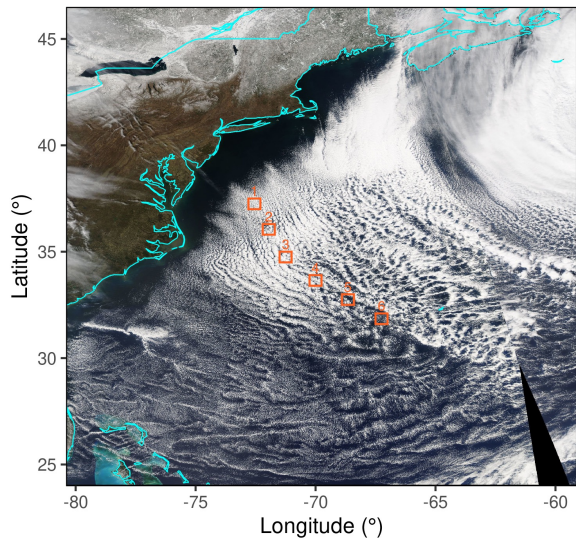
manuscript in prep.



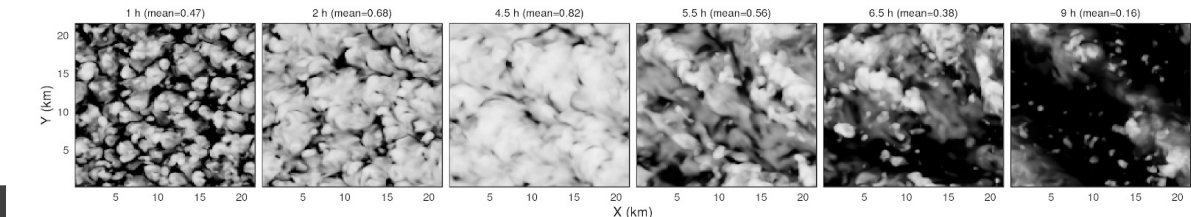
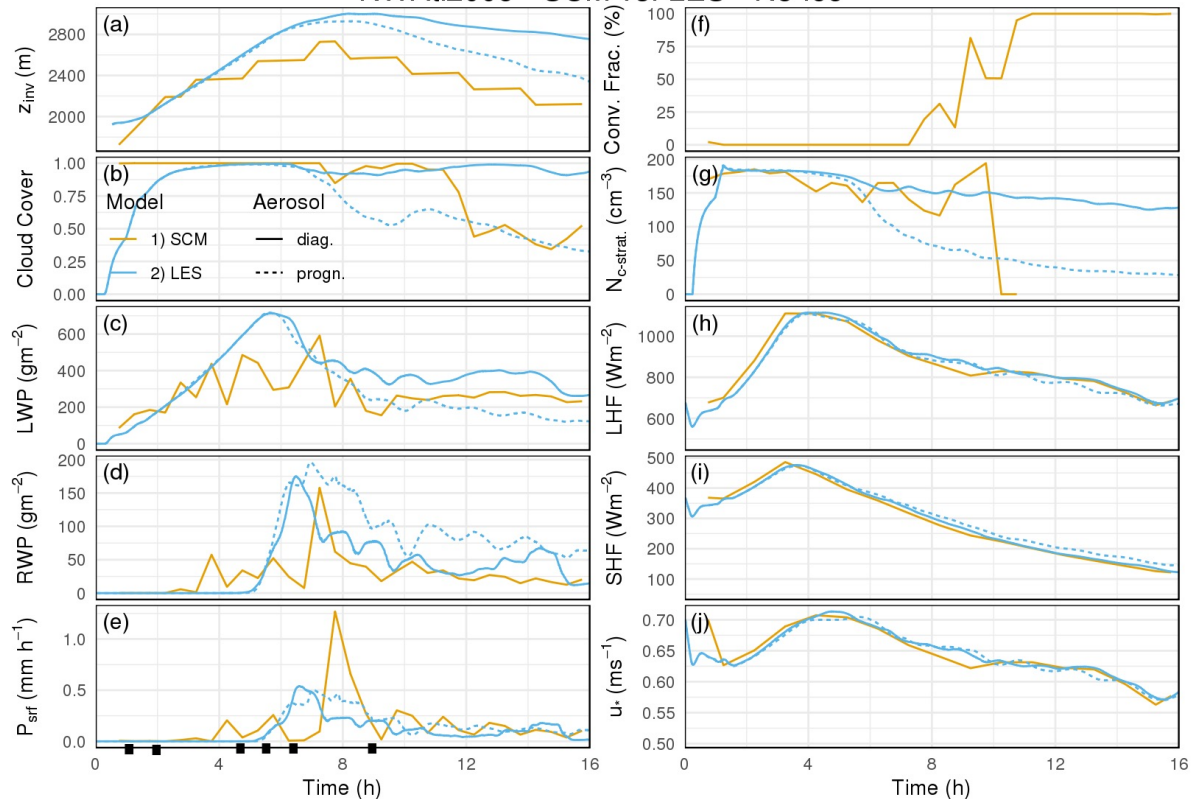
NASA ACTIVATE

- riming consumes CCN
[Tornow et al. ACP 2021]
- applicable to grey zone
[de Roode et al. 2019]

MODIS Aqua Imagery

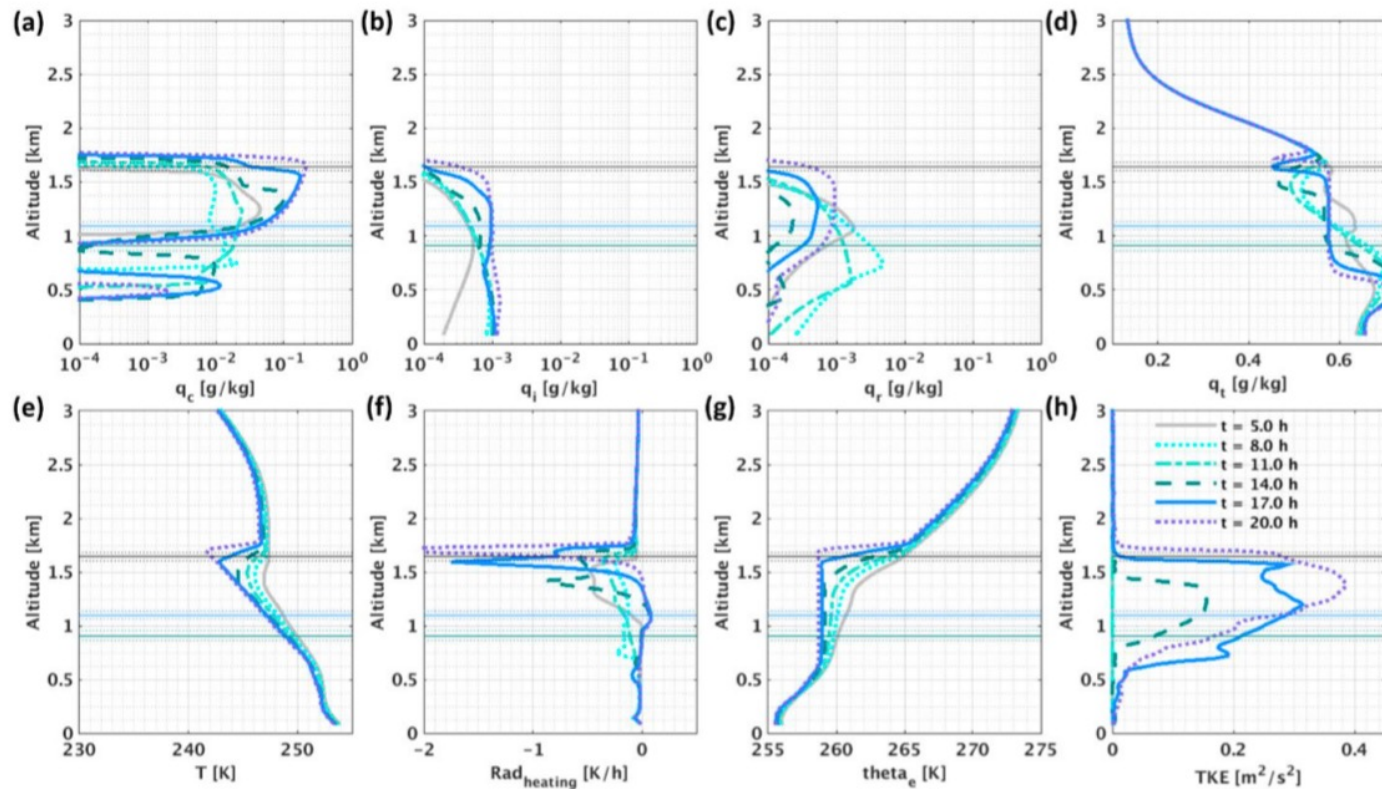


NWAtI2008 - SCM vs. LES - No Ice



Highly supercooled drizzle over Antarctica

- CTT $\approx -25^\circ\text{C}$
- initially stable atmosphere
- large-scale ascent \rightarrow thin supercooled cloud layer
- LW cooling \rightarrow thickening turbulent layer
- $N_c \approx 20/\text{cm}^3$,
 $N_i \approx 0.1/\text{L}$



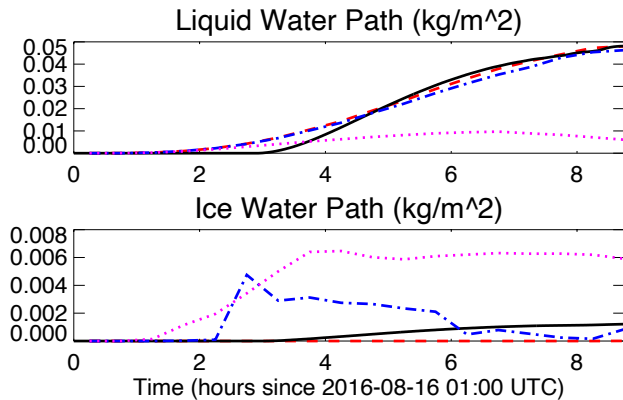
AWARE campaign case study (Silber et al. JGR 2019)



AWARE case study

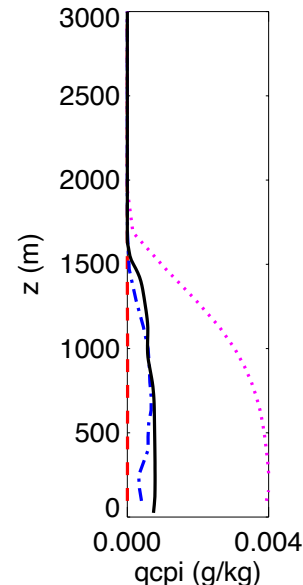
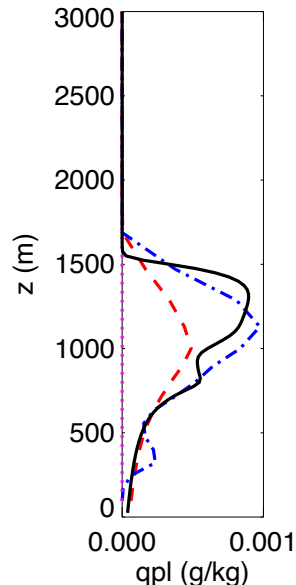
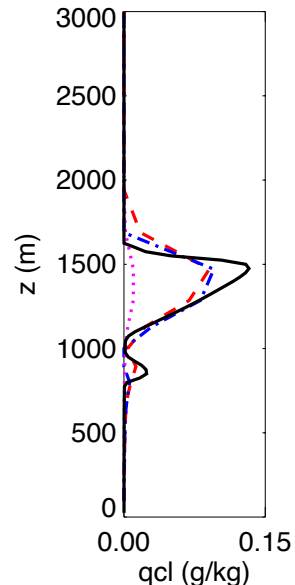
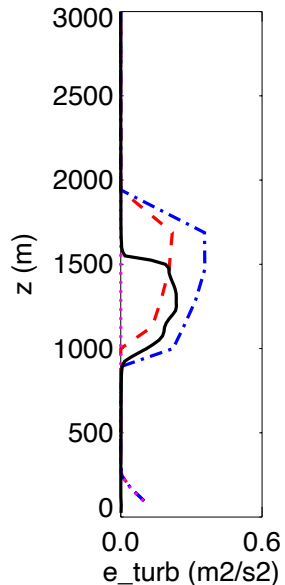
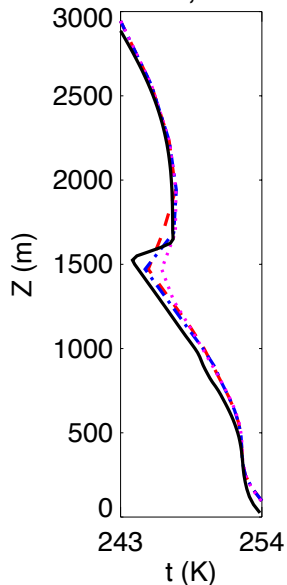
- SCM performs quite well
- stable conditions common (Silber et al. ACP 2020; GRL 2021)

LES_AWARE
SCM_AWARE_iifn0
SCM_AWARE_iifn0p1
SCM_AWARE_iifn1



see Silber et al. [GMD 2021]

AWARE, 009.0 h



Tuning Protocol

- **scale_iifn is one of 45 parameters taken to be poorly constrained**
- LES/SCM used to estimate parameter ranges
- satellite dataset uncertainties are specified

Metrics (36 in total)	Data Source
Radiation (Longwave [LW], Shortwave [SW])	CERES-EBAF-Ed4.1
Cloud Radiative Forcing (LWcrf, SWcrf)	CERES-EBAF-Ed4.1
Column Water Vapor (CWV)	*Obs4MIPS RSS, G-VAP
Specific Humidity profiles (qv)	*Obs4MIPS AIRS, MLS
Temperature profiles (T)	*Obs4MIPS AIRS, MLS, GNSS-RO
Total Liquid Water Path (TLWP)	*MAC-LWP, GPM/TRMM
Total Ice Water Path (TIWP)	*CloudSat, MODIS
Total Precipitation (Pr)	*GPCP, GPM/TRMM
Convective Precipitation (Prc)	GPM/TRMM
Total Cloud Cover (TCC)	CloudSat/CALIPSO, ISCCP
Low (Shallow Cu, StratoCu) Cloud Cover	CloudSat/CALIPSO
Cloudtop Droplet Number Concentration	*MODIS (Bennartz, Grosvenor)
Surface Wind (W)	*WindSat, QuikSCAT
Liquid-to-ice transition Temperature/Height	CALIPSO

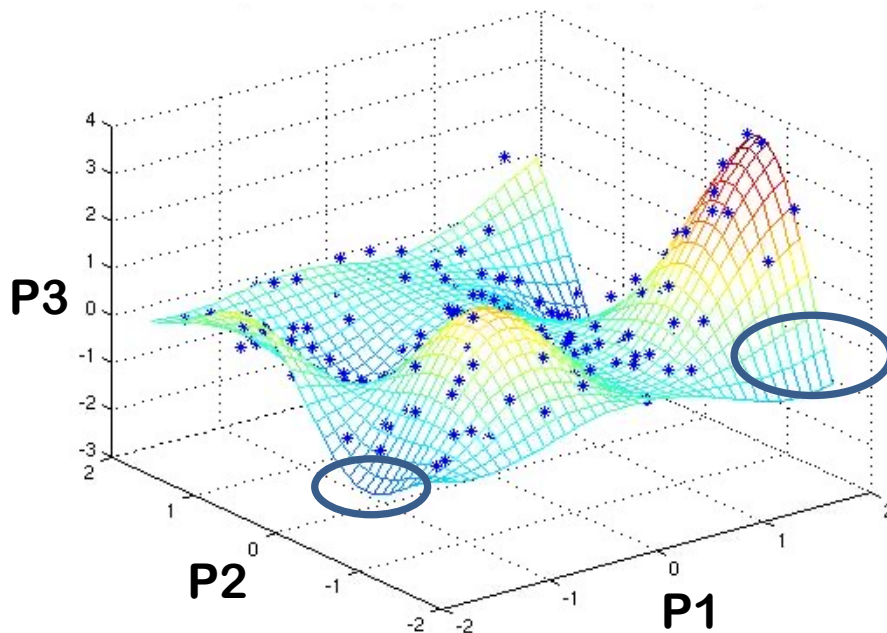
source: Greg Elsaesser



ModelE3 emulator based on 450 1-year atmosphere runs

Latin Hypercube sampling in a 45-dimensional parameter state space. Lots of empty state space; emulator (neural network) fills in the gaps.

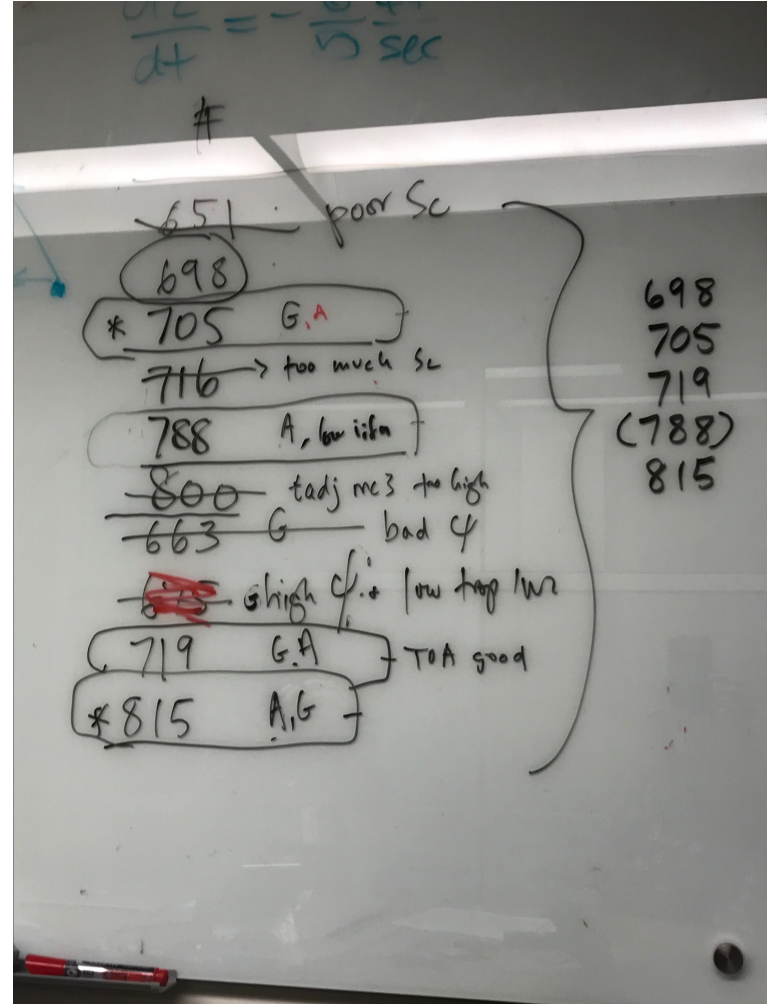
Example Penalty
State Space
Transect for any
given model
metric



source: Marcus van Lier-Walqui

After The Machine

- photo of white board at GISS

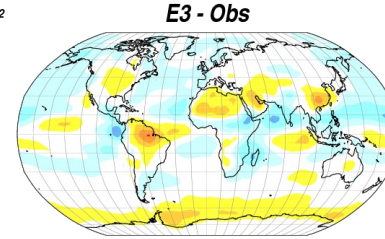
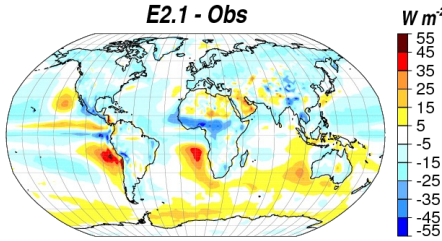
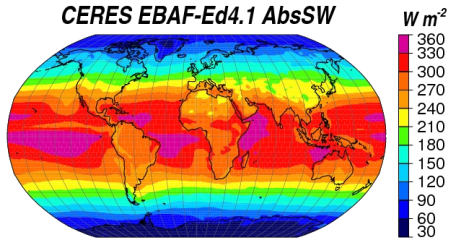


Obs

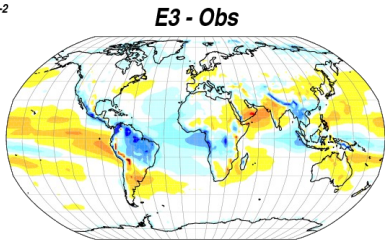
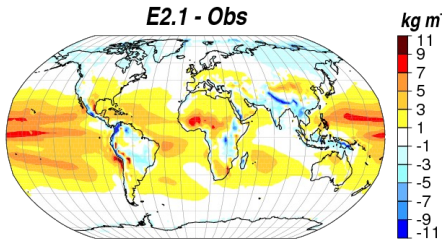
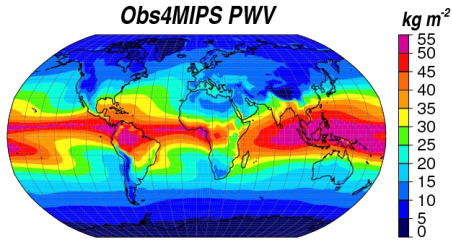
E2.1 – Obs

E3.tun2 – Obs

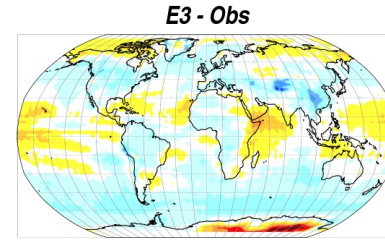
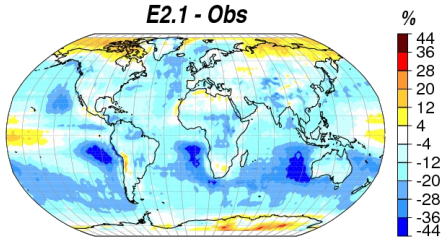
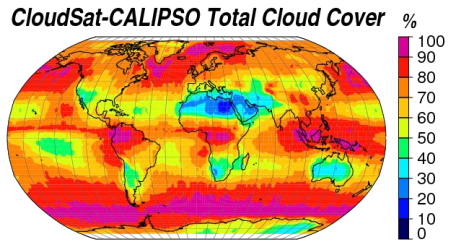
AbsSW



PWV



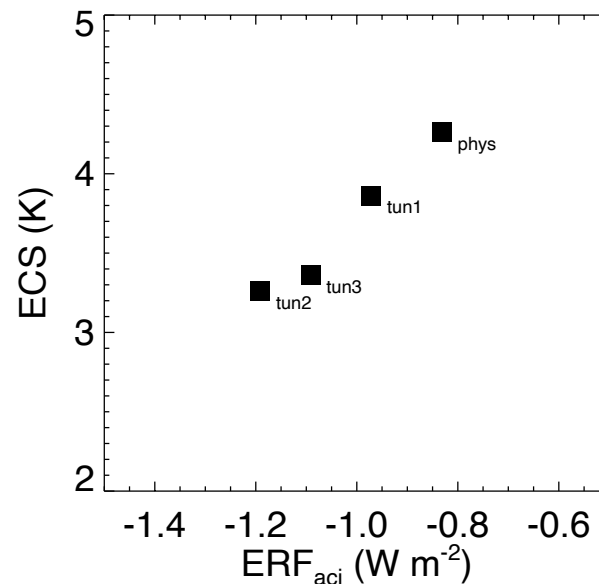
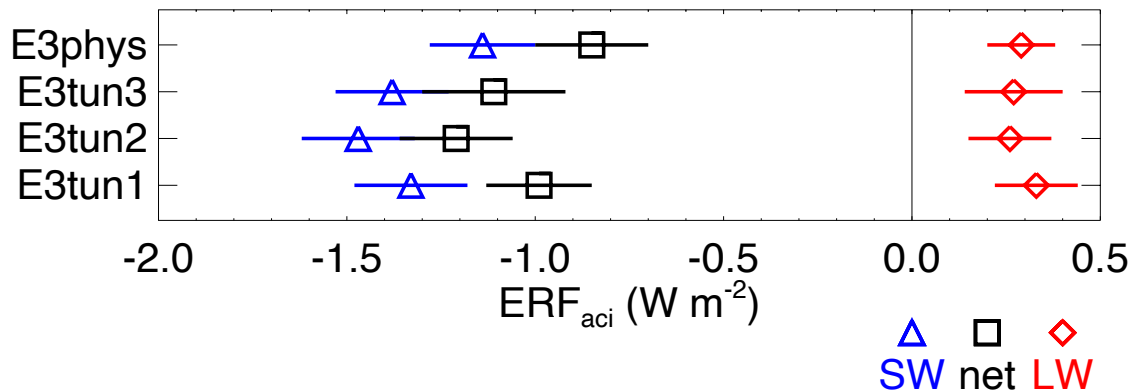
TCC



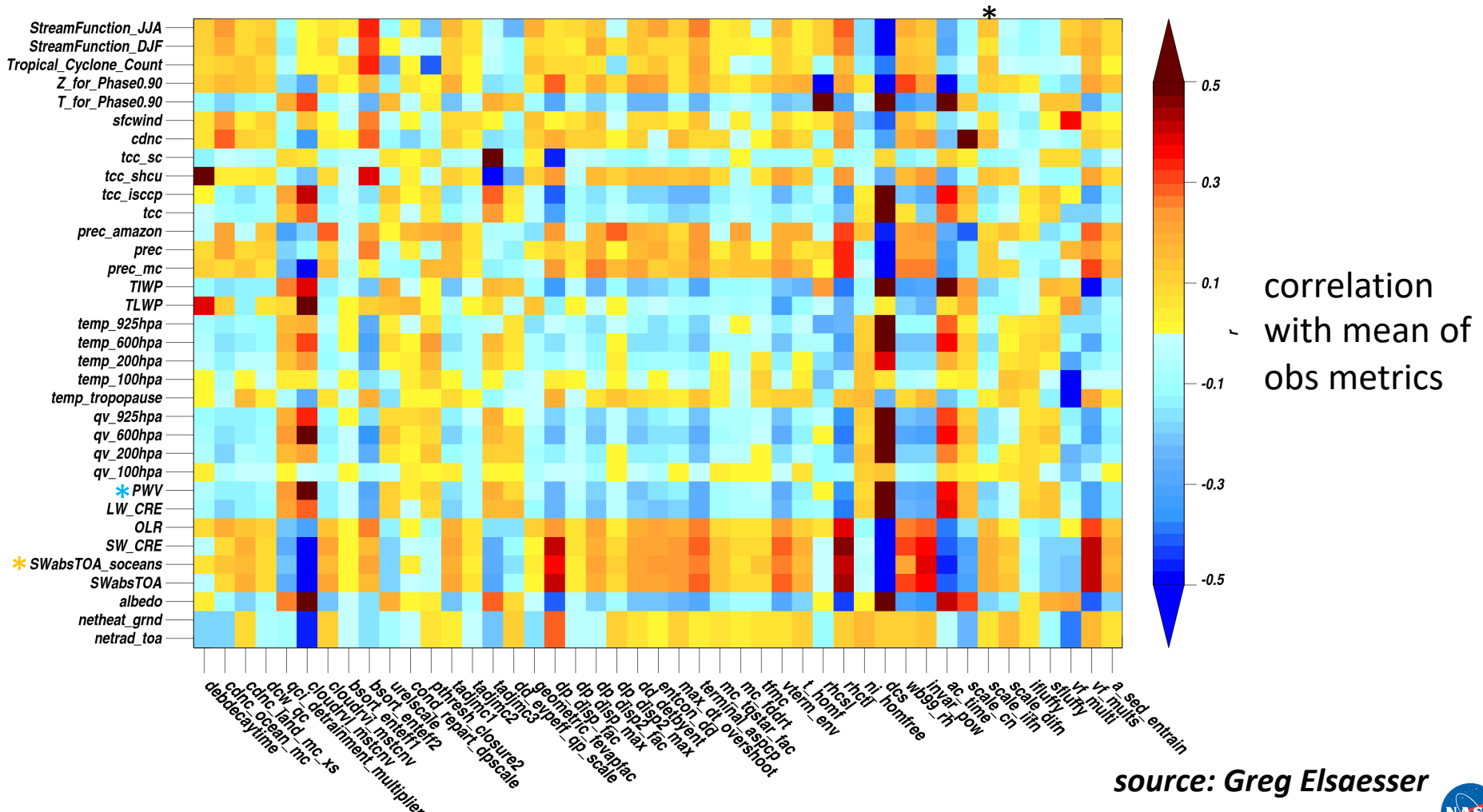
source: Greg Elsaesser

Aerosol indirect effect and ECS from E3 candidates

- AIE from 2000-2010 AMIP runs, PD minus PI offline aerosol for droplet activation only
- ECS from 30-year Q-flux PI runs



source: Andy Ackerman



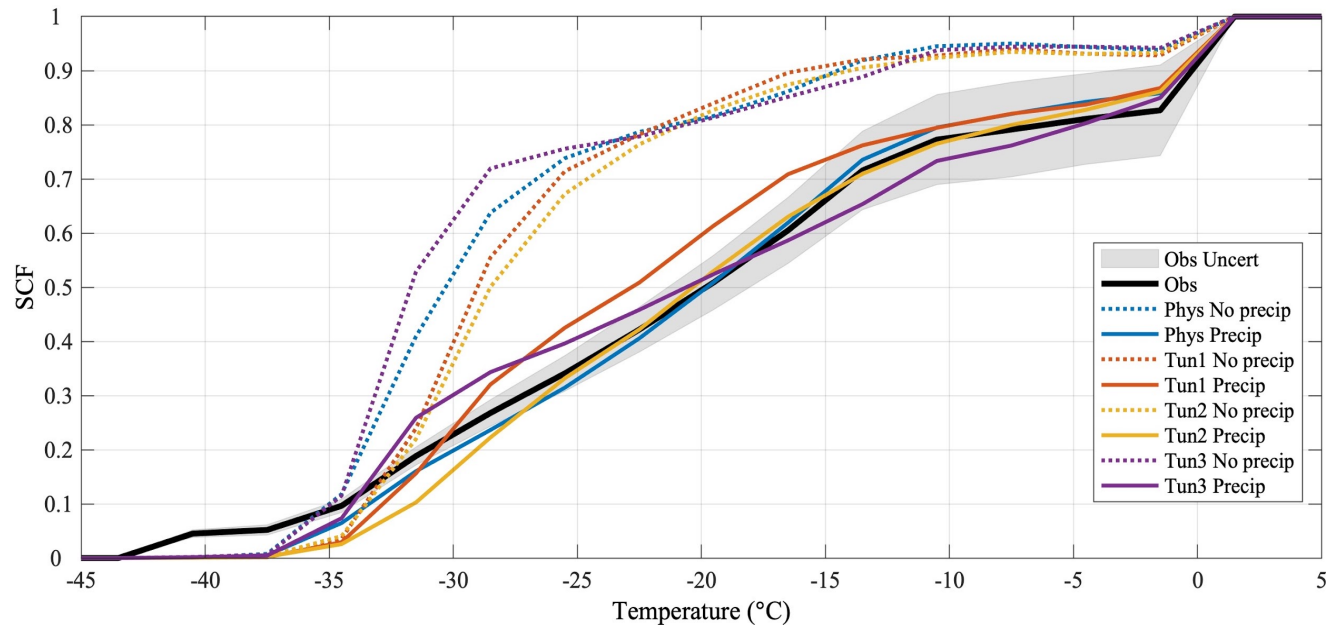
StreamFunction_JJA
 StreamFunction_DJF
 Tropical_Cyclone_Count
 Z_for_Phase0.90
 T_for_Phase0.90
 sfcwind
 cdnc
 tcc_sc
 tcc_shcu
 tcc_isccp
 tcc
 prec_amazon
 prec
 prec_mc
 TIWP
 TLWP
 temp_925hpa
 temp_600hpa
 temp_200hpa
 temp_100hpa
 temp_tropopause
 qv_925hpa
 qv_600hpa
 qv_200hpa
 qv_100hpa
 * PWV
 LW_CRE
 OLR
 * SWabsTOA_socceans
 SWabsTOA
 albedo
 netheat_grnd
 netrad_toa

debdccaytime
 cdnc_land_mc
 cdnc_ocean_mc
 dclv_mc
 dclv_land_mc_xs
 cloudynl_nistcnv
 cloudynl_nistcnv_multiplier
 bsprt_enferf1
 bsprt_enferf2
 urelscale
 cold_repart_opscale
 pfrfresh_closure2
 tadimc1
 tadimc2
 tadimc3
 dd_expert_gp_scale
 geometric_fevapfac
 dp_disp_fac_max
 dp_disp_fac_min
 dd_disp_max
 dd_disp_min
 emicon_dg
 max_of_overshoot
 terminal_aspp
 mc_fgstar_fac
 tmc
 vterm_env
 t_hort
 rhcsj
 rhed
 nj_nomtree
 dcs
 wbsg_rh
 invar_pow
 ac_time
 scale_cn
 scale_lrn
 influrly_din
 influrly_multi
 vr_vr_multi
 a_sed_entrain



ModelE3 supercooled cloud fraction vs CALIPSO

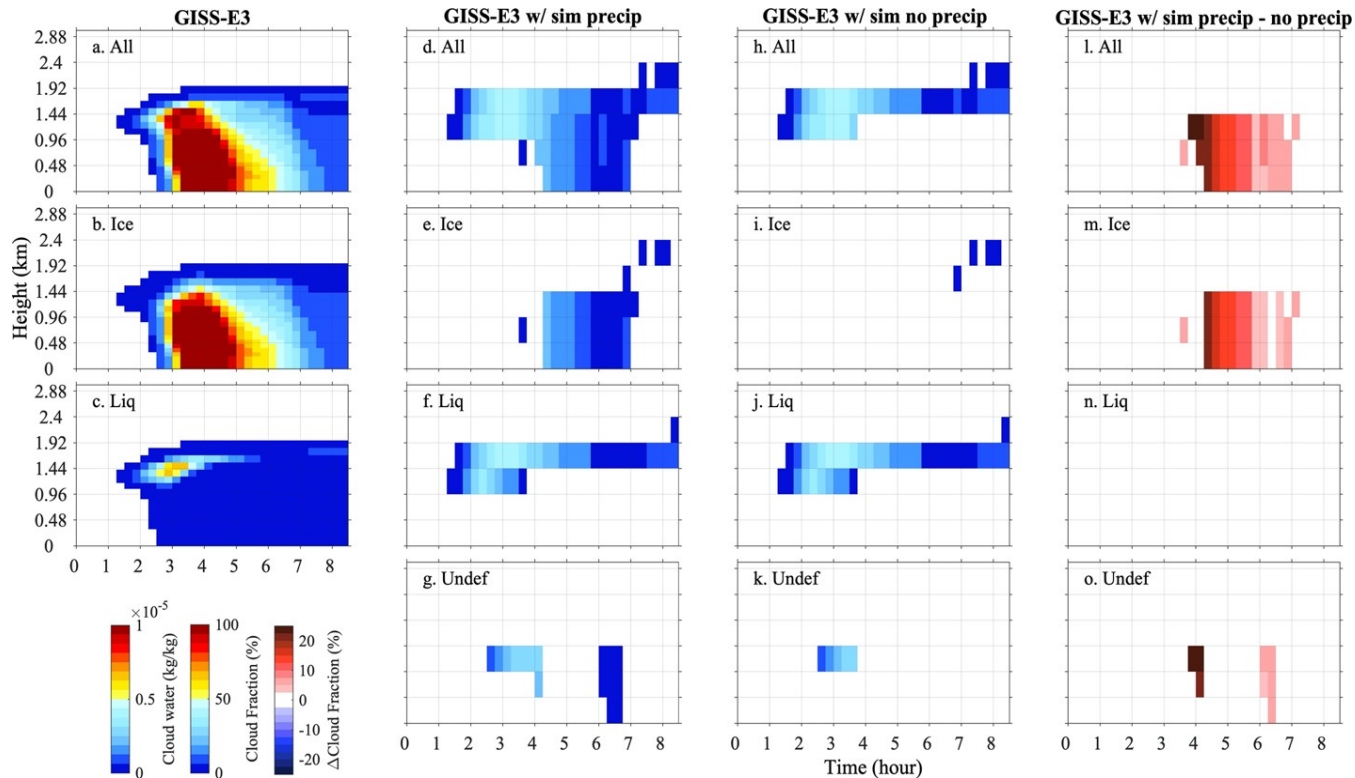
- COSP simulator modified to see "precipitation"
- note: cloud ice is continuous with precipitating ice (e.g., Fridlind et al. JAS 2012)
- "precipitation" also affects cloud feedbacks across ModelE3s



Cesana et al. (GRL 2021, Fig. S6)



COSP simulator revision tested on SCM AWARE case



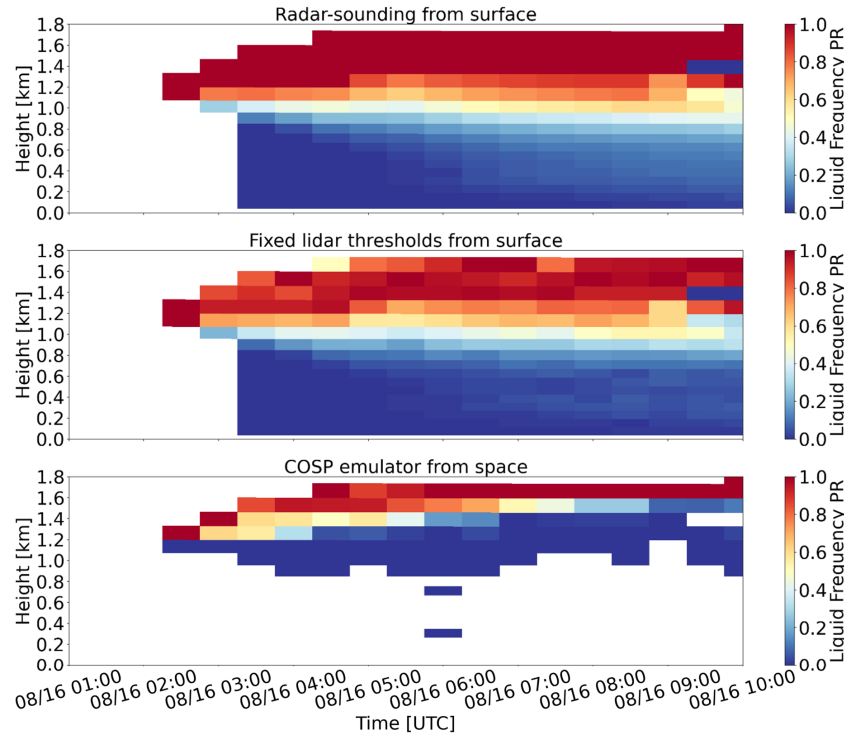
Cesana et al. (GRL 2021, Fig. S1)



A new ground-based lidar/radar simulator: EMC²

- Earth Model Column Collaboratory
- Python open source, community code base
- tool to evaluate supercooled cloud fraction, cloud base and surface precipitation, ...

EMC² microphysics



from surface:
sounding approach

from surface:
lidar approach

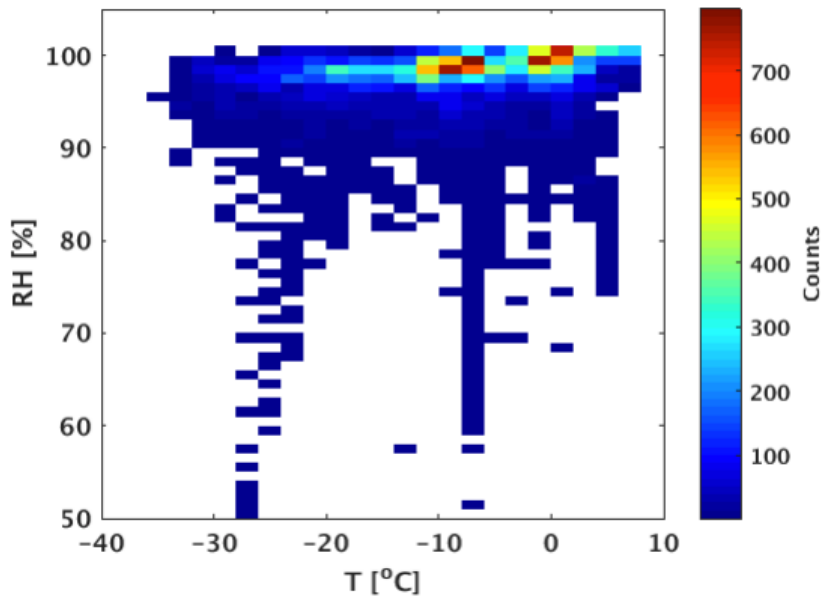
from space

Silber, Jackson, Collis et al. (GMD, 2021)

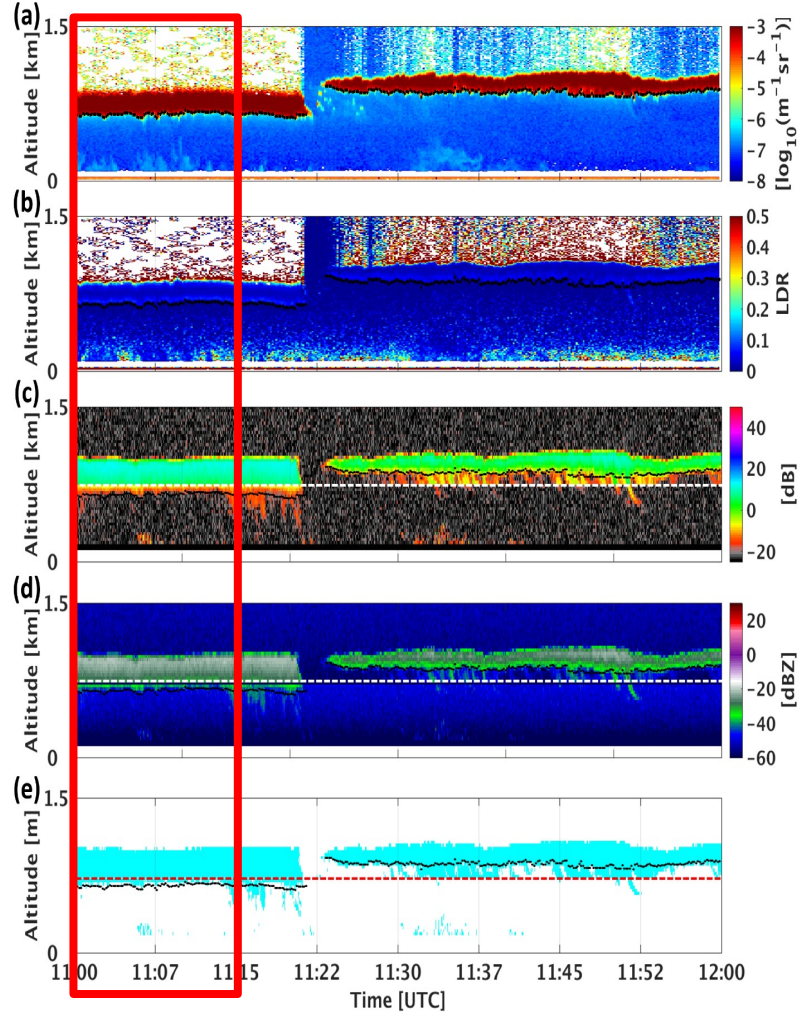


Observing supercooled layers

- lidar attenuated? use soundings
- colocated radar reflectivity identifies precipitation at sounding cloud bases



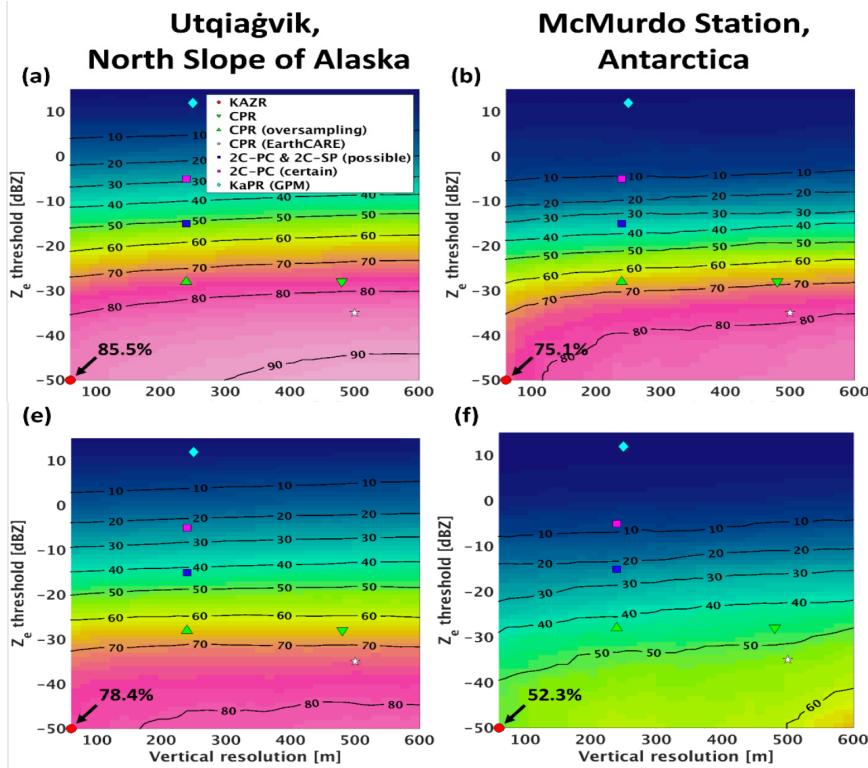
Silber et al. (ACP, 2021)



Precipitation from supercooled clouds

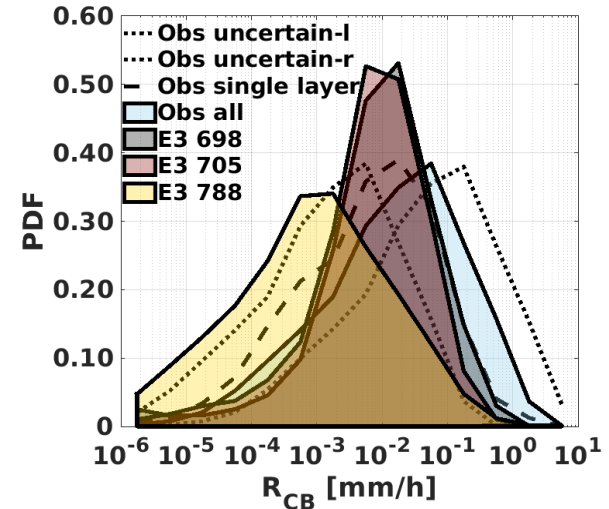
at cloud base

at surface



Silber et al. (ACP, 2021)

ModelE3 vs retrieved cloud base precipitation rate

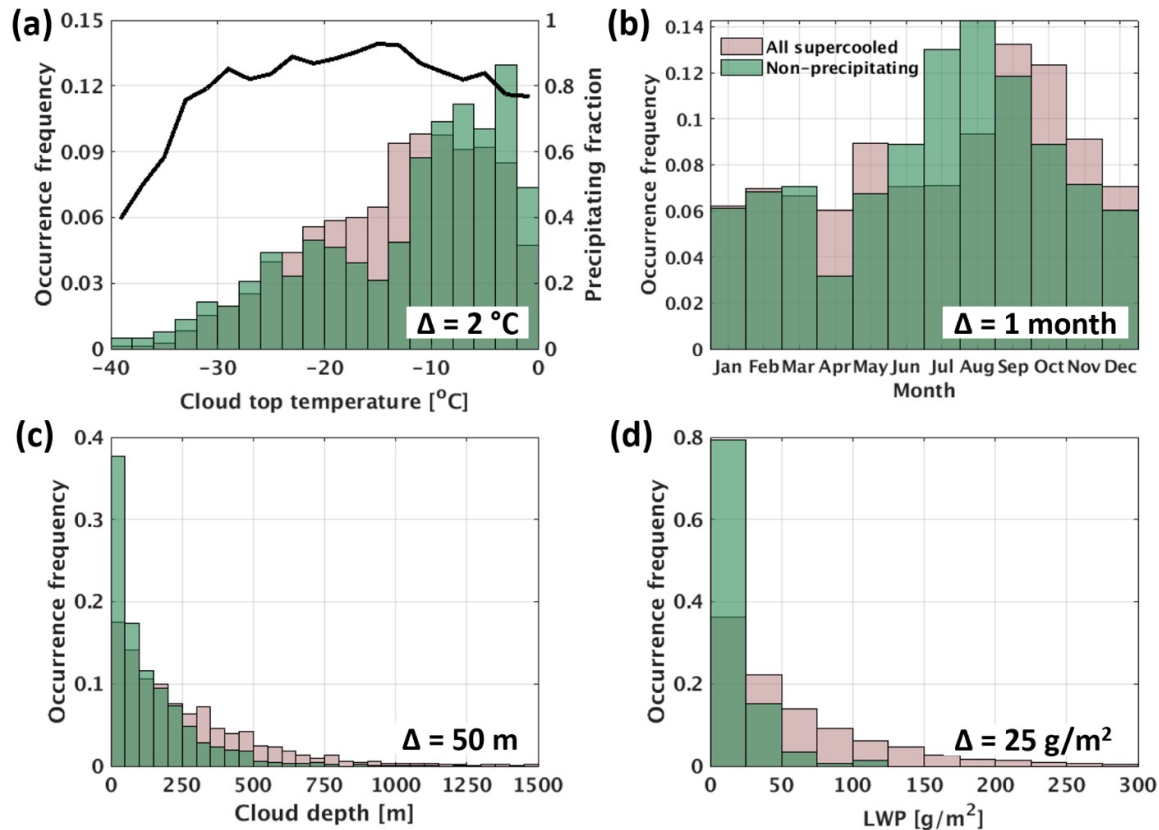


source: Israel Silber, using EMC²



Which Arctic supercooled clouds are *not* precipitating?

- >7 years of DOE ARM data over North Slope of Alaska
- >80% of detected cloud layers are precipitating at most cloud top temperatures
- similar statistics when excluding layers receiving ice from aloft
- non-precipitating tend to be
 - warmer at cloud top
 - thinner cloud layers
 - lower liquid water paths
 - non-turbulent

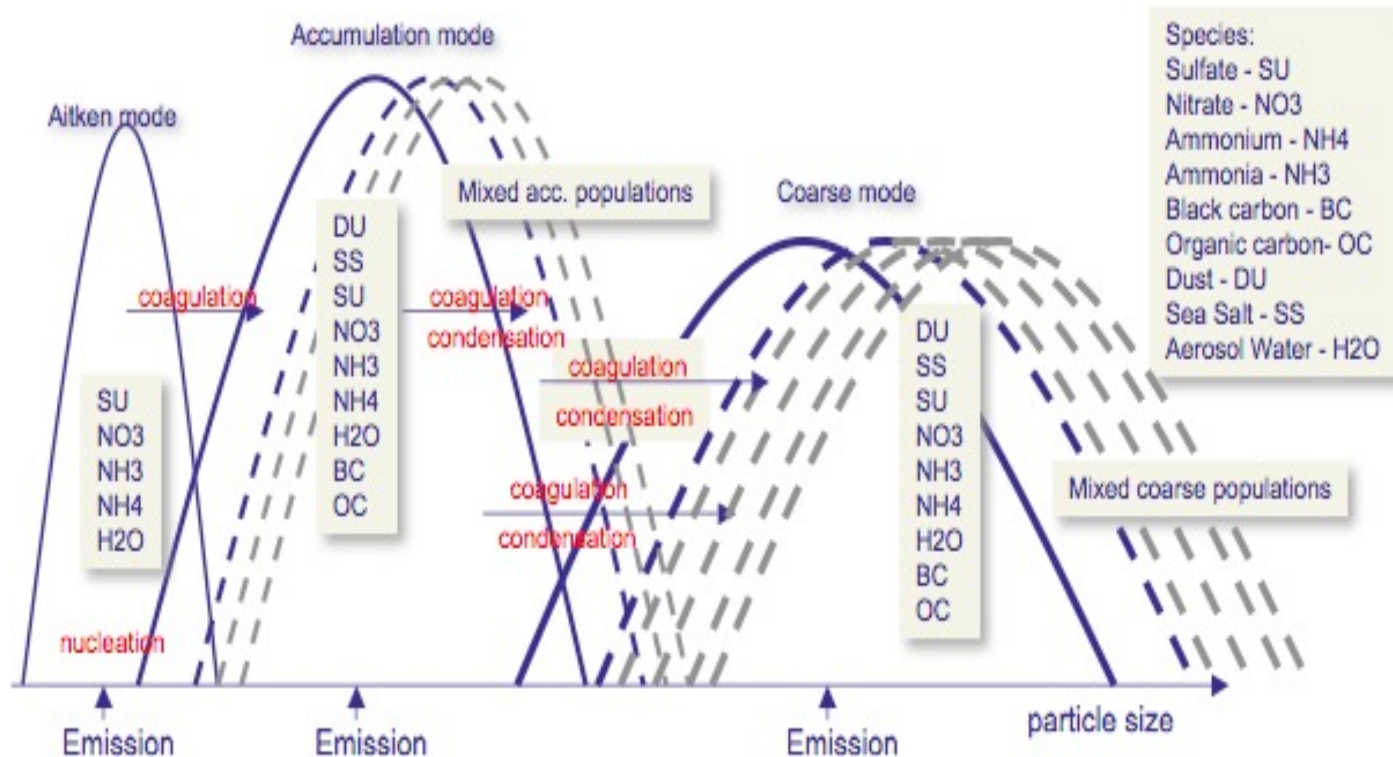


Silber et al. [ACP, 2021, Figure 2]



MATRIX scheme

Bauer et al. [ACP 2008, 2010]
Gao et al. [GMD 2017]



AEROICESTUDY: An ARM Southern Great Plains Pilot Study to Assess a Field-Observational Approach to Conduct Aerosol-Ice Formation Closure

Knopf, D. A., Barry, K. R., Brubaker, T. A., Jahl, L. G., Jankowski, K. A., Li, J., Lu, Y., Monroe, L. W., Moore, K. A., Rivera-Adorno, F. A., Saucedo, K. A., Shi, Y., Tomlin, J. M., Vepuri, H. S. K., Wang, P., Lata, N. N., Levin, E. J. T., Creamean, J. M., Hill, T. C. J., China, S., Alpert, P. A., Moffet, R. C., Hiranuma, N., Sullivan, R. C., Fridlind, A. M., West, M., Riemer, N., Laskin, A., DeMott, P. J., Liu, X.

U.S. DEPARTMENT OF
ENERGY



Knopf et al. (BAMS 2021)

Goals and Objectives

- *Identify ice nucleation parameterizations that produce the most robust predictions of INP number concentrations.*
- *What are the crucial aerosol physicochemical properties to guide ice nucleation representations in models and long-term INP measurements?*
- *What level of parameter details needs to be known to achieve aerosol-INP closure?*
- *What are the leading causes for climate model bias in INP predictions?*

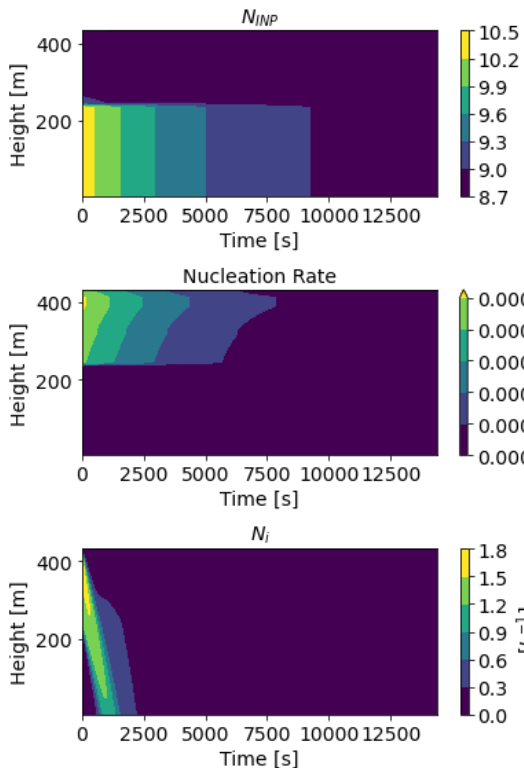
➔ Apply ambient aerosol to evaluate the aerosol composition-INP relationship.



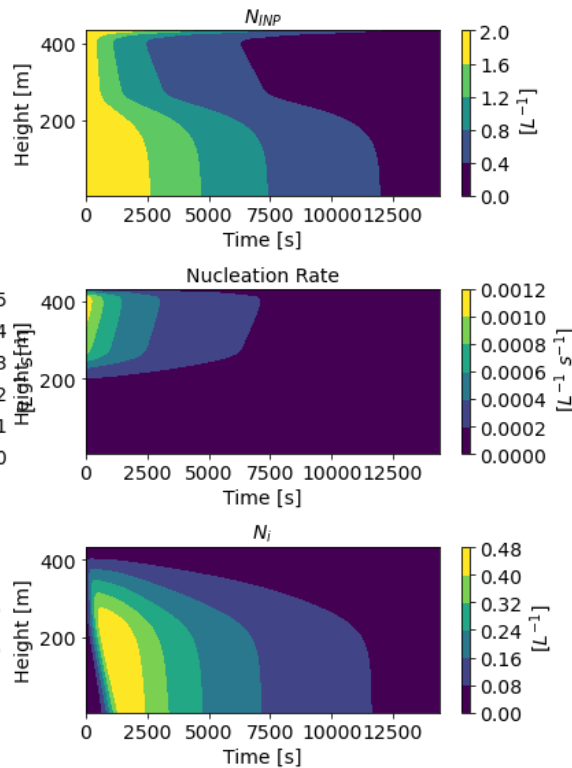
INP reservoir dynamics in SHEBA case study

- 1D Python model prognosing INP, N_{ice}
- if INP are rapidly activated in mixed-phase clouds, loss to precipitation will be important (cf. Fridlind et al. 2012)
- if an INP scheme introduces INP diversity within a modal class, tracking loss adds complexity

DeMott et al. (2015)



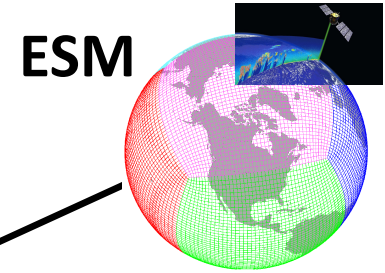
Knopf and Alpert (2013)



Manuscript in prep.



- primary and secondary ice formation
- + rain formation and mesoscale structure
- + gravity waves, surface fluxes, ice properties, aerosol-cloud interactions, ...



satellite + COSP
long-term ground + EMC²

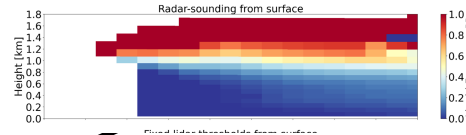
calibration

PARCEL + 1D

development

AEROICESTUDY
KIT laboratory SIP

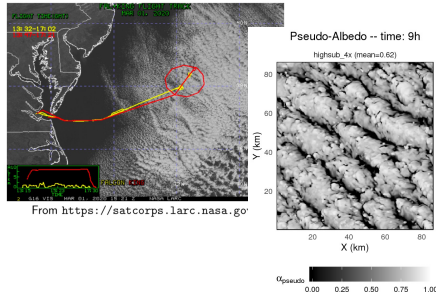
SCM



LES

development

aircraft field campaigns
long-term ground



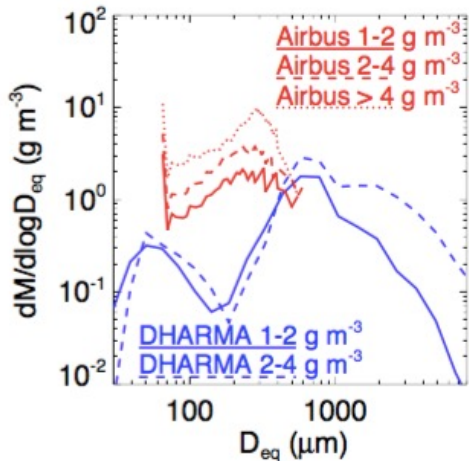
- ESM development workhorse
- pre-calibration tool
- simulator testbed
- cloud feedback analysis tool
- LES-SCM-MIP for CMIP7?



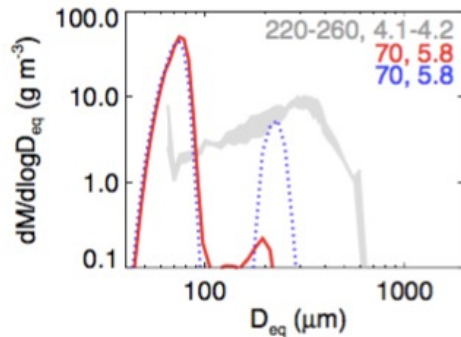
Tropical deep convection (TWP-ICE)

- How do you make a mass size distribution peak at $D_{eq} \approx 300 \mu\text{m}$?
 - $\approx 1 \text{ cm}^{-3}$ ice crystals warmer than -10°C [cf. Lawson et al. 2015, ICE-T]

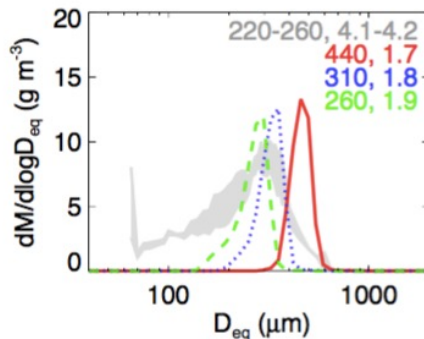
3D DHARMA simulations of TWP-ICE at -43°C



DHARMA sedimenting parcel simulations at -40°C



immersion INPs
“pseudo-Hallet-Mossop”

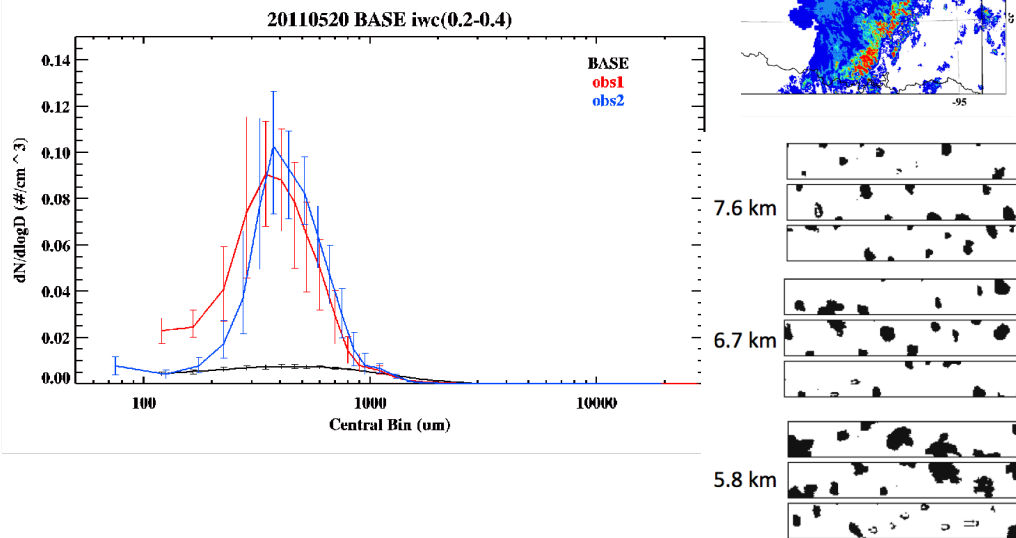
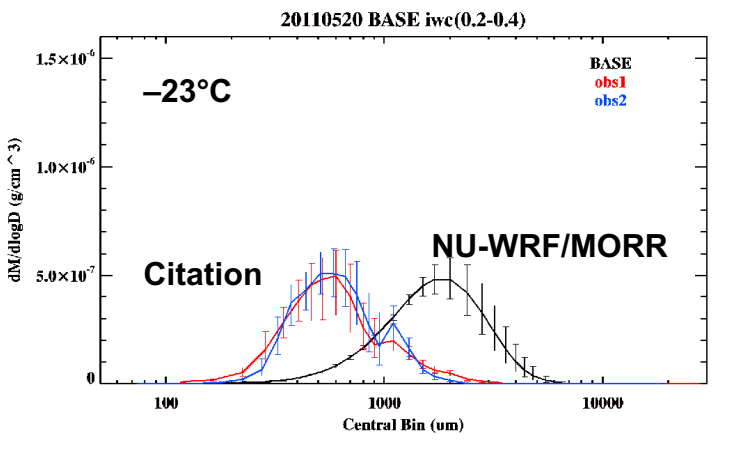
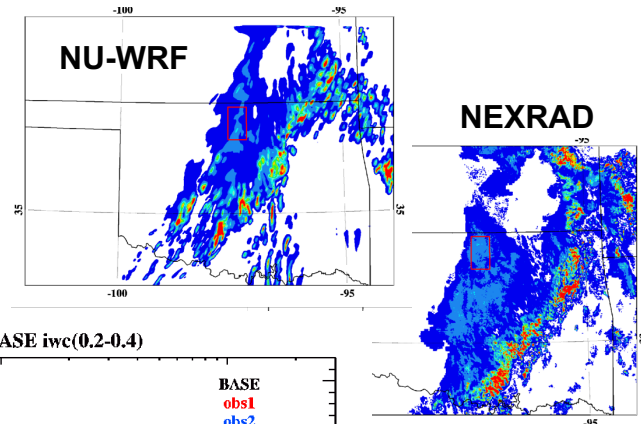


pseudo-Hallet-Mossop
 1 cm^{-3}
 2 cm^{-3}
 3 cm^{-3}

Ackerman et al. [ACP, 2016]

Springtime in Oklahoma during MC3E

- similar conditions as HAIC/HIWC
- despite grossly differing updraft strength
- unknown multiplication mechanism(s)

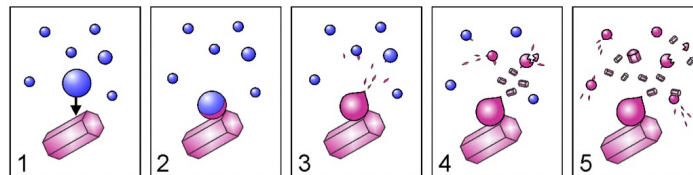
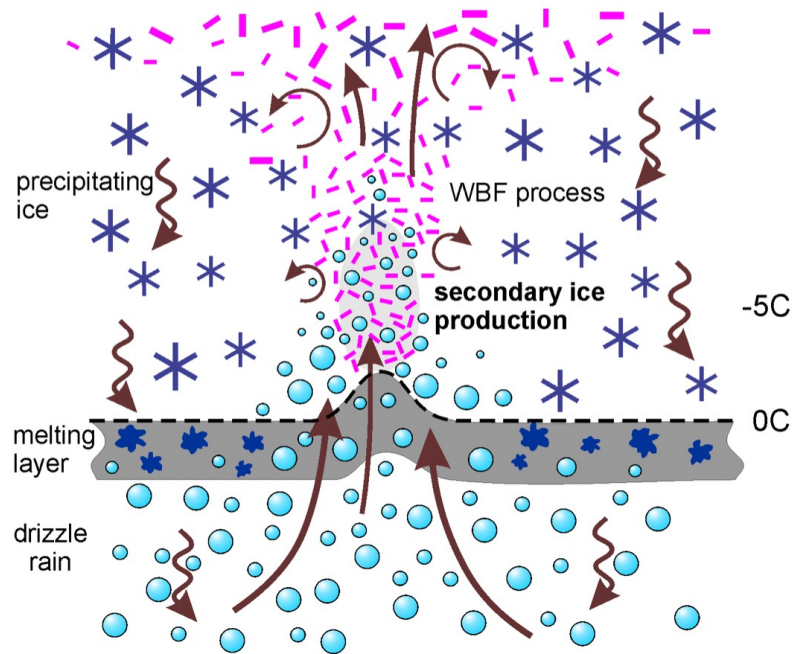


Fridlind et al. [ACP 2017]



Tropical deep convection (MCS conditions)

- Korolev et al. [ACP 2020]
 - examined flight legs at $-15 < T < 0^{\circ}\text{C}$
 - state-of-the-art instrumentation
 - pristine faceted crystals $D < 60\ \mu\text{m}$
 - best estimate $N_i \gg \text{INP}$
 - drops $D > 40\ \mu\text{m}$ necessary but not sufficient
 - graupel or rimed particles often missing
 - points to drop shattering [e.g., Lauber et al. 2018]



Takeaways

- Foundations of model development using machine learning
 - NU-WRF/LES/SCM library of observation-based cases (field campaigns)
 - adding Lagrangian case study ensembles with realistic aerosol
 - focus on understanding key process-level knowledge gaps (may require additional laboratory data, new instruments)
 - e.g., GCCN, ice < 100–200 μm , detailed aerosol and INP, GWs, ...
 - improving use of machine learning to define allowable phase space
 - global data sets for global tuning (relies on well-defined uncertainty)
- Site-based process-oriented model evaluation
 - focus on key process-level performance (e.g., supercooled cloud precip, morphology, cloud regimes, GWs, ...)
 - improving coordination with satellite obs, scratching the surface so far

